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May 17, 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Patent Application of Matthias Fies et al.	: Group Art Unit: 1773 : : :
Appln. No.	10/553,183	: Examiner: Unknown : :
Filed:	July 21, 2006	: Confirmation No. 2216 : :
For:	DIMER DIOL (METH)ACRYLATES USED AS A MATTING AGENT	: Attorney Docket : No. C 2684 PCT/US : :
Customer No.	23657	:

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We hereby request the following correction of the Filing Receipt for Serial No.: 10/553,183.

The Title for the above-referenced application should be corrected to read:

Dimer Diol (Meth)acrylates ~~Uses~~ Used as a Matting Agent

Thank you for your assistance in this matter.

Respectfully submitted,

Daniel S. Ortiz  
Daniel S. Ortiz  
(Reg. No. 25,123)  
Attorney for Applicants  
215-628-1141

May 17, 2007

Date

Cognis Corporation  
300 Brookside Avenue  
Ambler, PA 19002

Enc.: (1) Filing Receipt (true copy showing change)  
(2) Executed Declaration (copy)(showing correct title of I.A.)

DSO/mc

Dso/me



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/553,183	07/21/2006	1773	1030	C 2684 PCT/US	11	2

CONFIRMATION NO. 2216 ✓

23657  
 COGNIS CORPORATION  
 PATENT DEPARTMENT  
 300 BROOKSIDE AVENUE  
 AMBLER, PA 19002

## FILING RECEIPT



\*OC000000023463499\*

Date Mailed: 04/20/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Matthias Fies, Krefeld, GERMANY;  
 Holger Endres, Neuss, GERMANY;  
 Jan-Mirco Stachowiak, Essen, GERMANY;  
 Ronald Klagge, Erkrath, GERMANY;

**Power of Attorney:** The patent practitioners associated with Customer Number 23657.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03784 04/08/2004

## Foreign Applications

GERMANY 103-17-645.4 04/17/2003

If Required, Foreign Filing License Granted: 05/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,183**

Projected Publication Date: 07/26/2007

Non-Publication Request: No

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 ACTION \_\_\_\_\_ INFO SKS/mc

APR 23 2007

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DUE \_\_\_\_\_  
 FILE C 2684

**Early Publication Request:** No

**Title**

Dimer diol (meth)acrylates <sup>used</sup> ~~uses~~ as a matting agent

**Preliminary Class**

428

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	C 2684 PCT/US	
				First Named Inventor	FIES, Matthias
				COMPLETE IF KNOWN	
				Application Number	10/553,183
				Filing Date	July 21, 2006
				Group Art Unit	
			Examiner Name		

As a below named inventor, I hereby declare that:  
My residence, post office address, and citizenship are as stated below next to my name.  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DIMER DIOL (METH)ACRYLATES USED AS A MATTING AGENT**  
(Title of the invention)

the specification of which  
☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) **04/08/2004** as United States Application Number or PCT International Application Number **PCT/EP2004/003784** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(e) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
103 17 645.4	Germany	04/17/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Type a plus sign (+) inside this box - ☐

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DECLARATION		Page 2	
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.			
<b>U.S. Parent Application Number</b>	<b>PCT Parent Number</b>	<b>Parent Filing Date (MM/DD/YYYY)</b>	<b>Parent Patent Number (if applicable)</b>
	PCT/EP2004/003784	04/08/2004	
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.			
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:			
<input checked="" type="checkbox"/> Firm Name <span style="border: 1px solid black; padding: 2px;">23657</span> Customer Number or label <span style="border: 1px solid black; padding: 2px;"></span>			
<input type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:			
<b>Name</b>	<b>Registration Number</b>	<b>Name</b>	<b>Registration Number</b>
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.			
Please direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or label <span style="border: 1px solid black; padding: 2px;">23657</span> OR <input type="checkbox"/> Fill in correspondence address below			
<b>Name</b>			
<b>Address</b>			
<b>City</b>	<b>State</b>	<b>Zip</b>	
<b>Country</b>	<b>Telephone</b>	<b>Fax</b>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
<b>Name of Sole or First Inventor:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b>	<b>Matthias</b>	<b>Middle Initial</b>	
		<b>Family Name</b>	<b>FIES</b>
<b>Inventor's Signature</b>	<i>Matthias Fies</i>		<b>Date</b>
<b>Residence: City</b>	<b>Krefeld</b>	<b>State</b>	<b>Country</b>
			<b>Germany</b>
<b>Post Office Address</b>	<b>Dahlienstrasse 26</b>		
<b>Post Office Address</b>			
<b>City</b>	<b>47800 Krefeld</b>	<b>State</b>	<b>Country</b>
			<b>Germany</b>
<b>Applicant Authority</b>			
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto			

Type a plus sign (+) inside this box - ☐

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DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet				
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Holger	Middle Initial		Family Name	ENDRES	Suffix e.g. Jr.			
Inventor's Signature	<i>H. Endres</i>				Date	26.01.2006			
Residence: City		Neuss		State	Country		Germany		
Post Office Address		Buchenstrasse 27							
Post Office Address									
City	41470 Neuss		State	Zip		Country		Germany	
						Applicant Authority			
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Jan-Mirco	Middle Initial		Family Name	STACHOWIAK	Suffix e.g. Jr.			
Inventor's Signature	<i>Jan-Mirco Stachowiak</i>				Date	18.01.2006			
Residence: City		Essen		State	Country		Germany		
Post Office Address		Freisenbruchstrasse 32							
Post Office Address									
City	45279 Essen		State	Zip		Country		Germany	
						Applicant Authority			
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Ronald	Middle Initial		Family Name	KLASSE	Suffix e.g. Jr.			
Inventor's Signature	<i>Ronald Klasse</i>				Date	13.02.2006			
Residence: City		Erkrath		State	Country		Germany		
Post Office Address		Nahweg 25							
Post Office Address									
City	40699 Erkrath		State	Zip		Country		Germany	
						Applicant Authority			
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.			
Inventor's Signature					Date				
Residence: City				State	Country				
Post Office Address									
Post Office Address									
City			State	Zip		Country			
						Applicant Authority			
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto									